

Docket No.: Z&P-INF-N10309

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : MATTHIAS GOLDBACH ET AL.

Filed : CONCURRENTLY HEREWITH

Title : METHOD FOR FABRICATING TRENCH CAPACITORS FOR  
INTEGRATED SEMICONDUCTOR MEMORIES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 5,981,350 (Geusic et al.), dated November 9, 1999;

U.S. Patent No. 6,025,225 (Forbes et al.), dated February 15, 2000;

U.S. Patent No. 5,692,281 (Rajeevakumar), dated December 2, 1997;

U.S. Patent No. 5,406,515 (Rajeevakumar), dated April 11, 1995;

U.S. Patent No. 5,658,816 (Rajeevakumar), dated August 19, 1997;

US Patent No. 6,190,988 B1 (Furukawa et al.), dated February 20, 2001;

German Published Non-Prosecuted Patent Application DE 100 55 711 A1 (Birner et al.), dated May 23, 2002, and English abstract thereof;

European Patent Application EP 0 852 396 A2 (Arnold), dated July 8, 1998;

European Patent Application EP 0 967 644 A2 (Schrems et al.), dated December 29, 1999;

European Patent Application EP 0 967 643 A2 (Gambino et al.), dated December 29, 1999;

European Patent Application EP 0 903 782 A2 (Gruning et al.), dated March 24, 1999;

European Patent Application EP 0 942 465 A2 (Flietner et al.), dated September 15, 1999;

PCT WO 00/67326 (Enders et al.), dated November 9, 2000;

International Search Report, dated October 24, 2002.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to the applicant.

Respectfully submitted,

For Applicants

LAURENCE A. GREENBERG  
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Date: July 9, 2003

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/nt/kf

FORM PTO-1449 (SUBSTITUTE)		Attorney Docket No.: Z&P-INF-N10309 Appl. No.:	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))		Applicant: MATTHIAS GOLDBACH ET AL.	
		Filing Date: July 9, 2003 Group Art Unit:	

EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A	5,981,350	11/9/99	Geusic et al.			
	B	6,025,225	2/15/00	Forbes et al.			
	C	5,692,281	12/2/97	Rajeevakumar			
	D	5,406,515	4/11/95	Rajeevakumar			
	E	5,658,816	8/19/97	Rajeevakumar			
	F	6,190,988 B1	2/20/01	Furukawa et al.			
	G						
	H						
	I						

## FOREIGN PATENT DOCUMENT

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO
	J	100 55 711 A1	5/23/02	Germany			
	K	0 852 396 A2	7/8/98	Europe			
	L	0 967 644 A2	12/29/99	Europe			
	M	0 967 643 A2	12/29/99	Europe			
	N	0 903 782 A2	3/24/99	Europe			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER	DATE CONSIDERED

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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO
	J	0 942 465 A	9/15/99	Europe			
	K	00/67326	11/9/00	WIPO			
	L						
	M						
	N						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER	DATE CONSIDERED